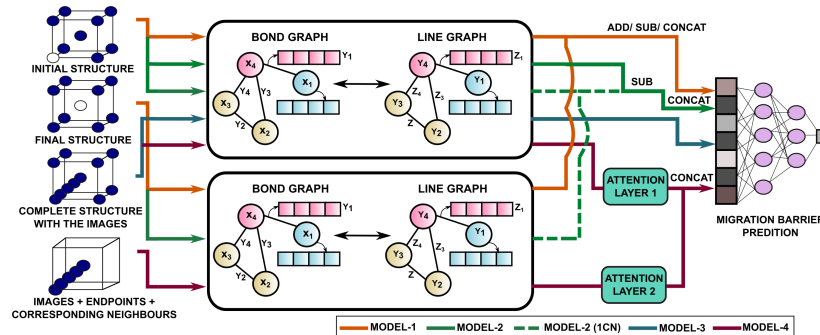




Leveraging transfer learning to predict migration barriers in battery materials

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International Meeting of the Battery Research Society (IMBRS), Bengaluru

9 Dec 2025

Acknowledgments



Dr. Keith Butler



Reshma



Avaneesh



SERC (IISc)

June 2025



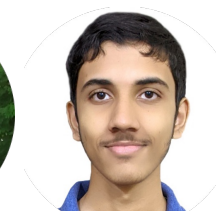
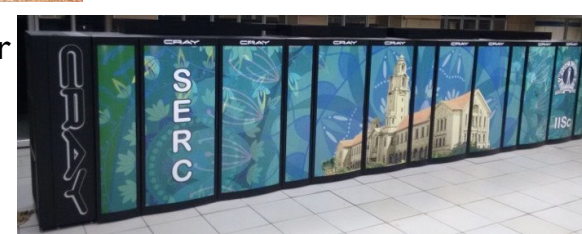
Param
Utkarsh
(CDAC)

Transfer learning of migration barriers

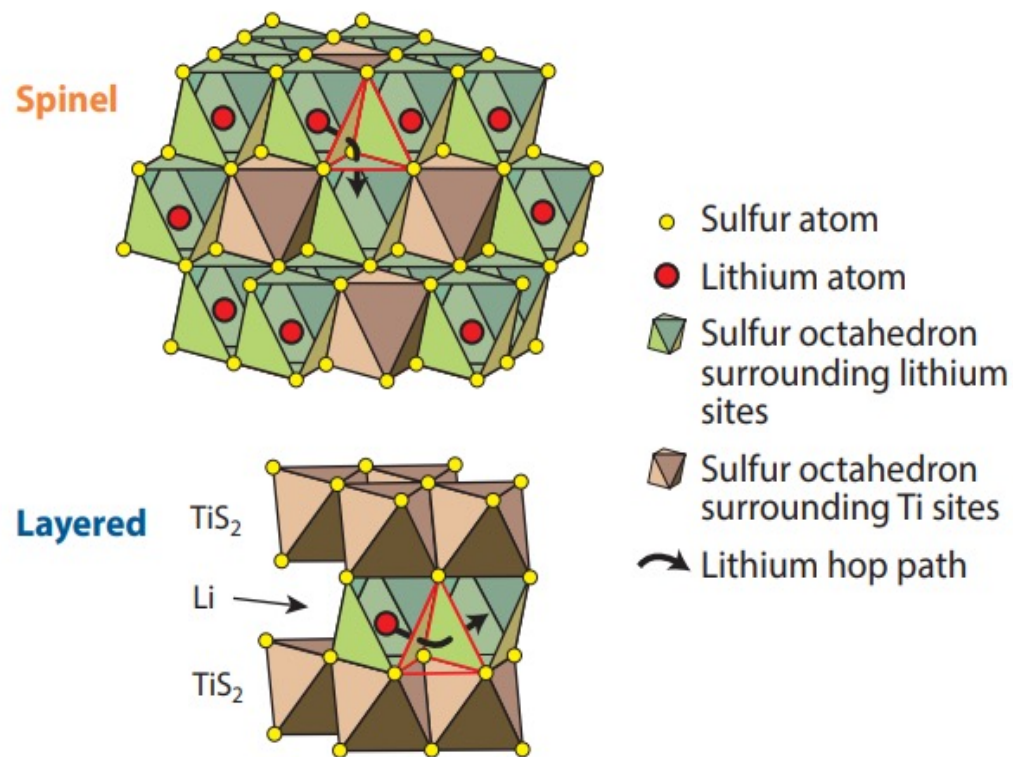


Archer
(UK)

IMBRS 2025 | Sai Gautam Gopalakrishnan



Migration barriers govern rate performance in batteries



How to accurately and swiftly predict migration barriers? Use machine learning?

Intercalation electrodes: ionic diffusivity (D) within the bulk a major factor in rate performance

$$D = D_o \exp\left(-\frac{E_m}{k_B T}\right)$$

D_o : Diffusivity pre-factor (carrier concentration, correlations, etc.)

k_B : Boltzmann constant

T : Temperature

E_m : Migration barrier

Migration barrier: dominant factor determining diffusivity

Experimental measurements of E_m : variable-temperature impedance spectroscopy, variable temperature nuclear magnetic resonance, etc.

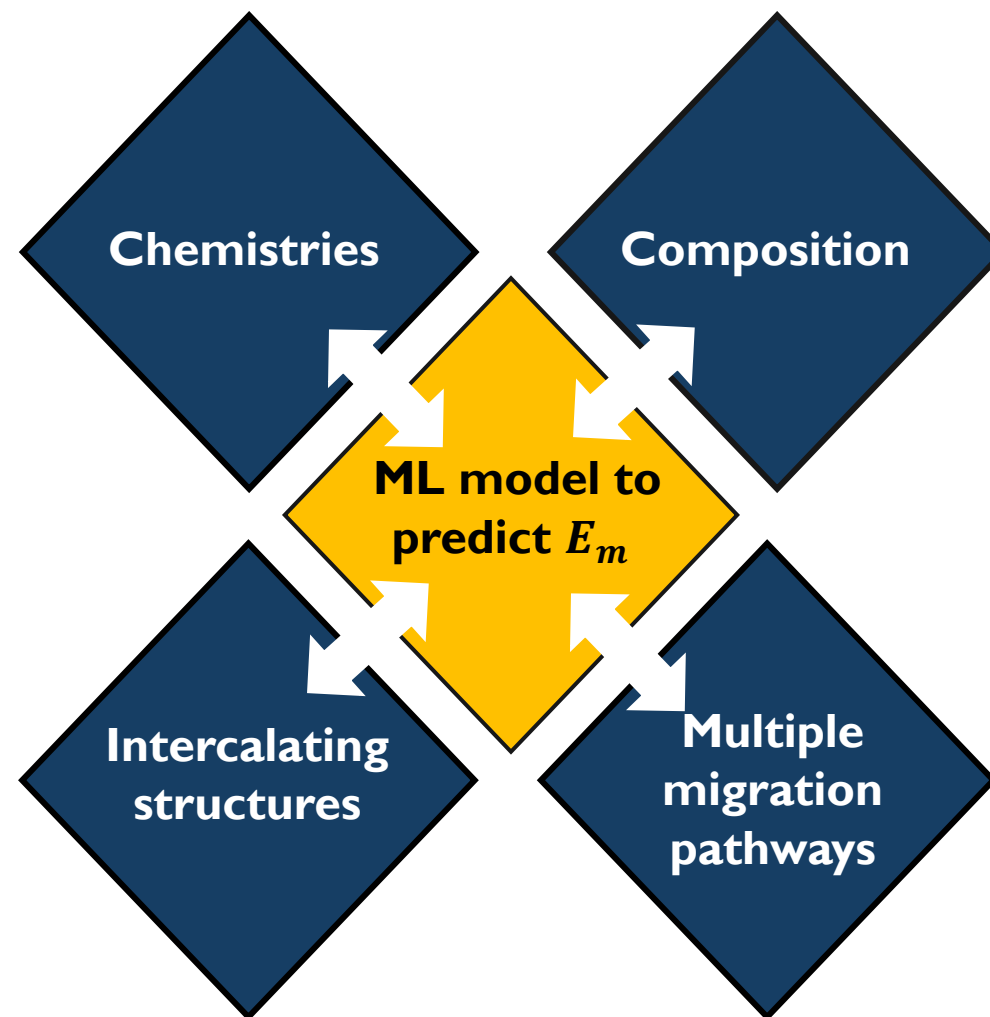
Computational predictions of E_m : ab initio molecular dynamics, nudged elastic band (NEB) with density functional theory (DFT)

What we need for fast and accurate E_m predictions?

How **accurate** are first-principles calculations in predicting E_m ?

Is there a **dataset of E_m** values belonging to a diverse set of structures, chemistries, compositions, and migration pathways?

If there is a dataset, how do we construct a **generalizable model** that accurately predicts E_m ?



Accuracy

On average accuracy is in the 140-180 meV range

MAE of SCAN (140 meV): lowest

MAE of GGA: 178 meV

Qualitative trends: reliable with GGA

SCAN: computational cost+convergence

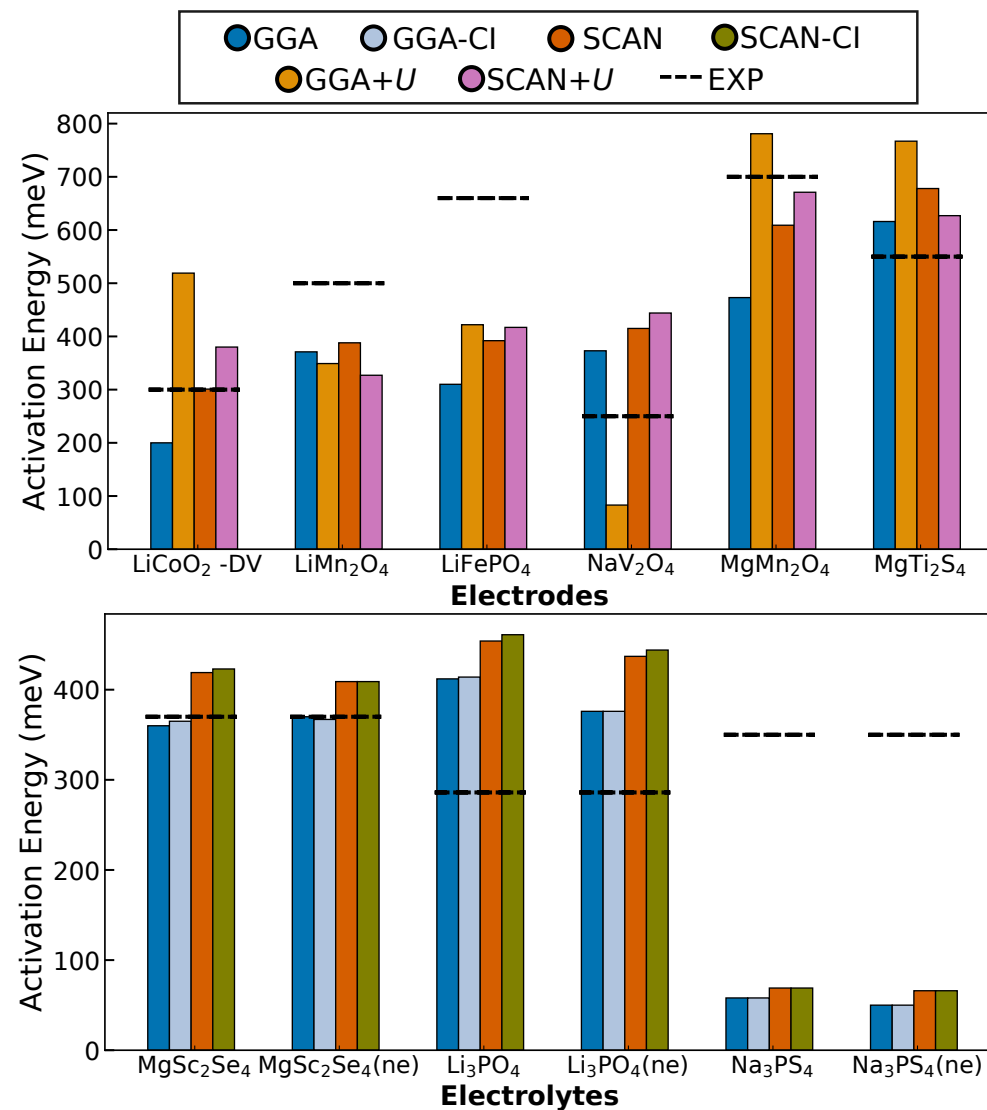
60 meV in E_m : ~ 1 order of magnitude D at 300 K for micron-sized particles

125 meV in E_m : same D at 300 K, one order change in particle size

SCAN: strongly constrained and appropriately normed;
GGA: Generalized gradient approximation
 U : Hubbard U correction

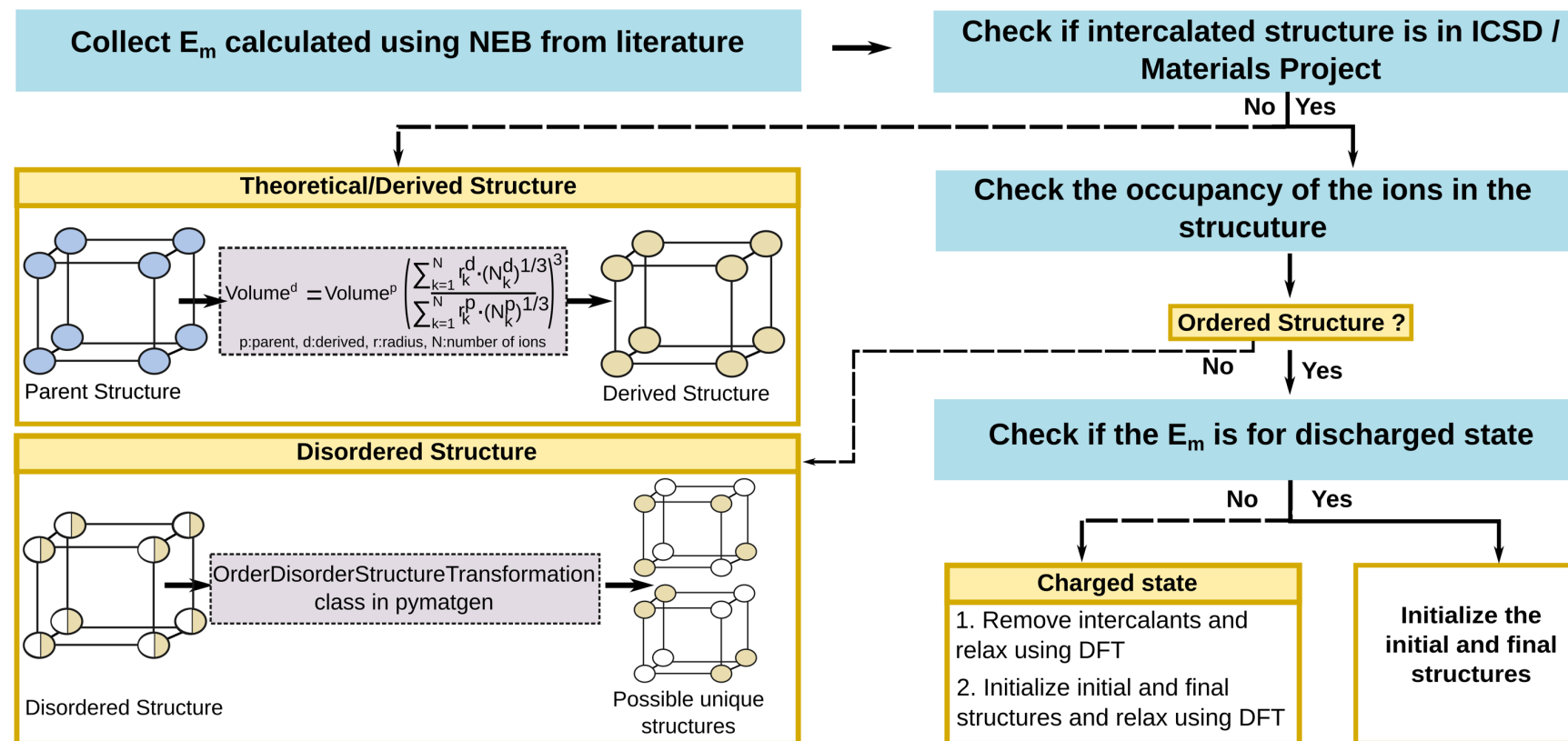
CI: Climbing image; EXP: Experimental

ne: Background charge; DV: divacancy



Dataset

Workflow to generate a curated dataset of E_m



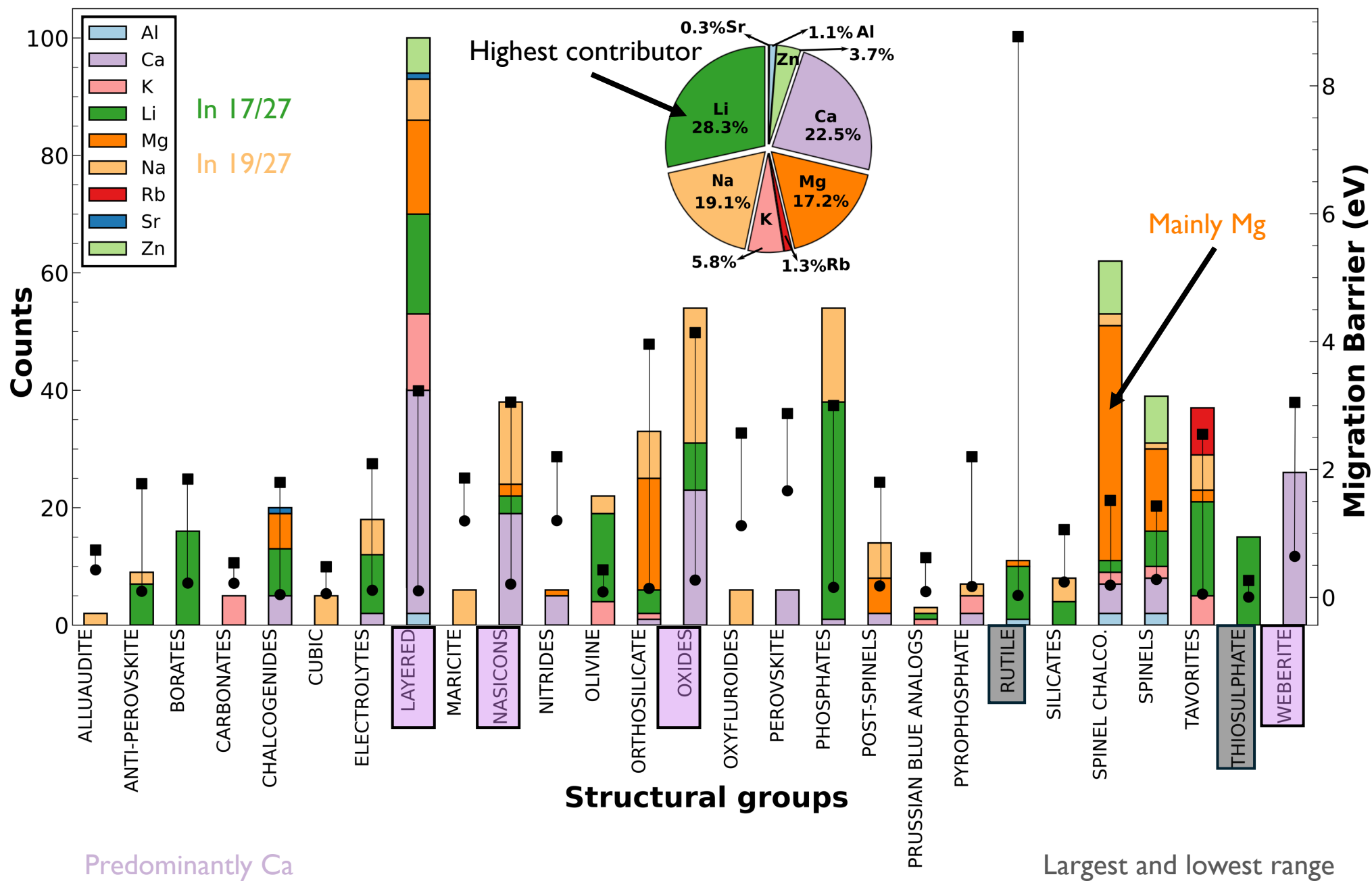
Consider papers that reported unambiguously the methods and the structure used

Generalized gradient approximation or its Hubbard U preferred as the functional

Well labelled images of E_m and/or the minimum energy pathway (MEP)

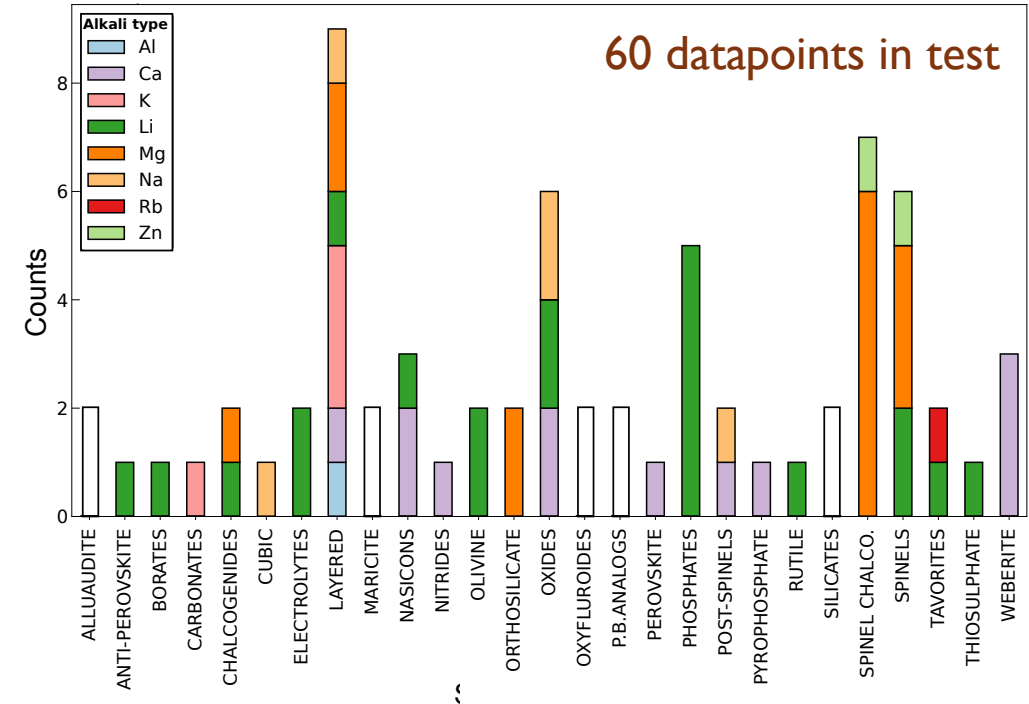
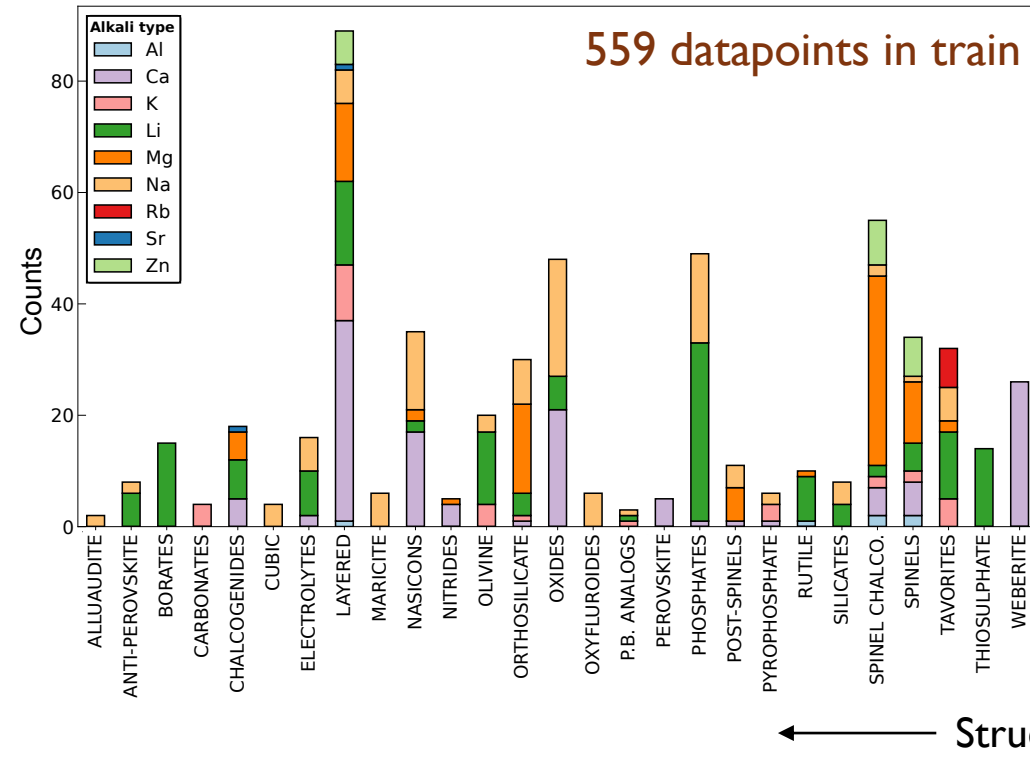
73.4%: $E_m < 1 \text{ eV}$
 19.4%: $1 \text{ eV} < E_m < 2 \text{ eV}$
 7.2%: $E_m > 2 \text{ eV}$





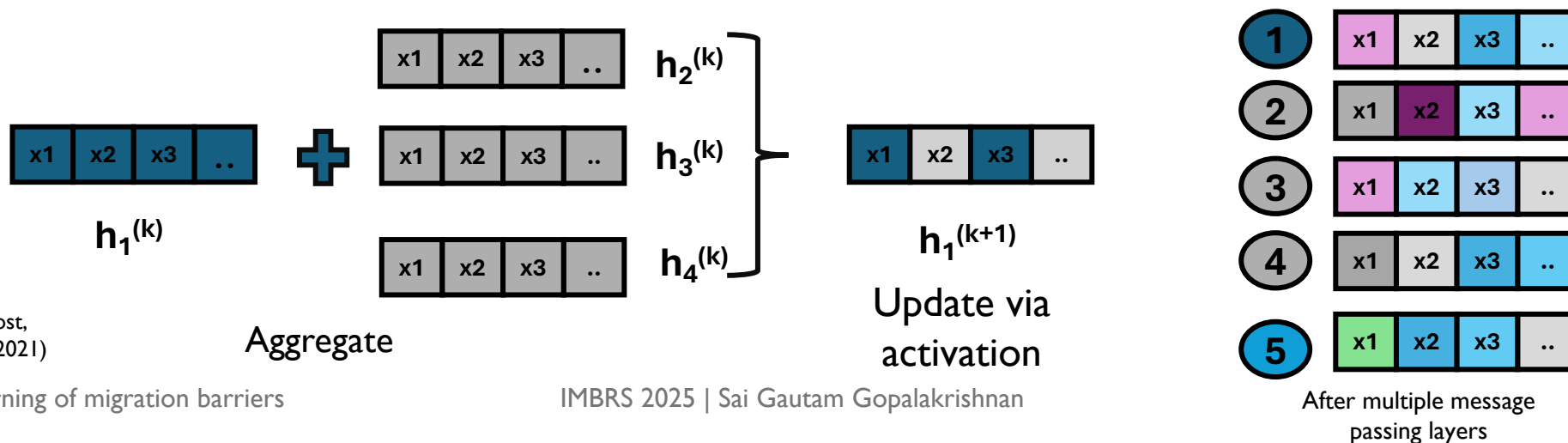
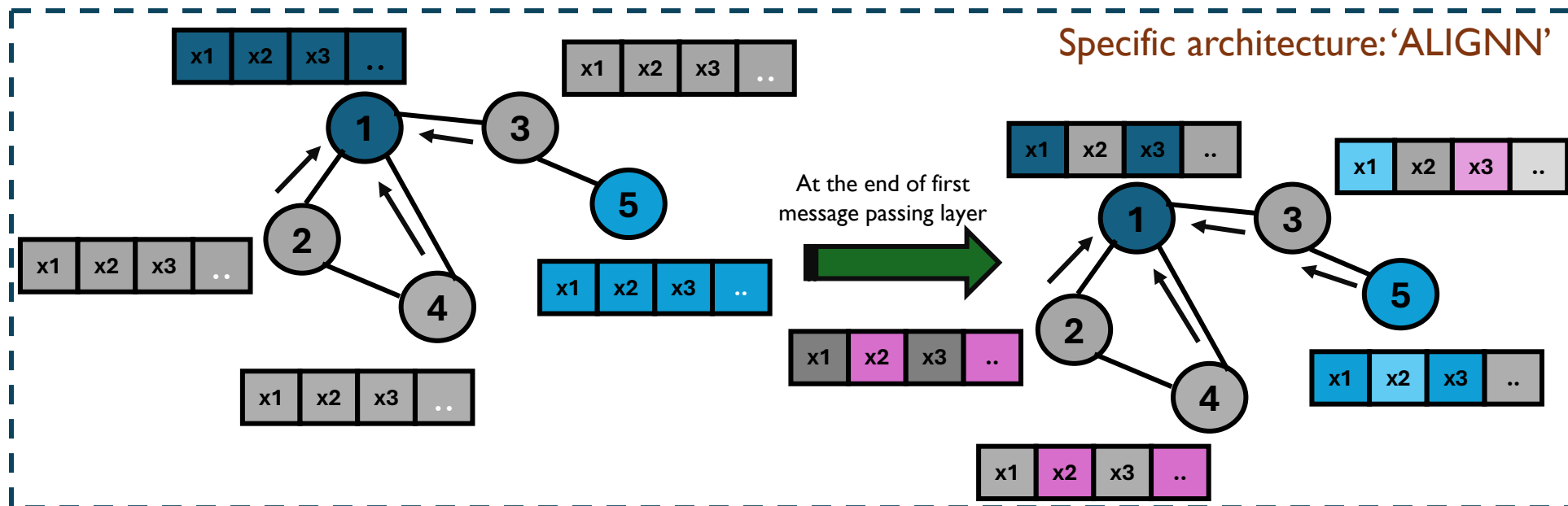
Generalizable model

Careful split of dataset



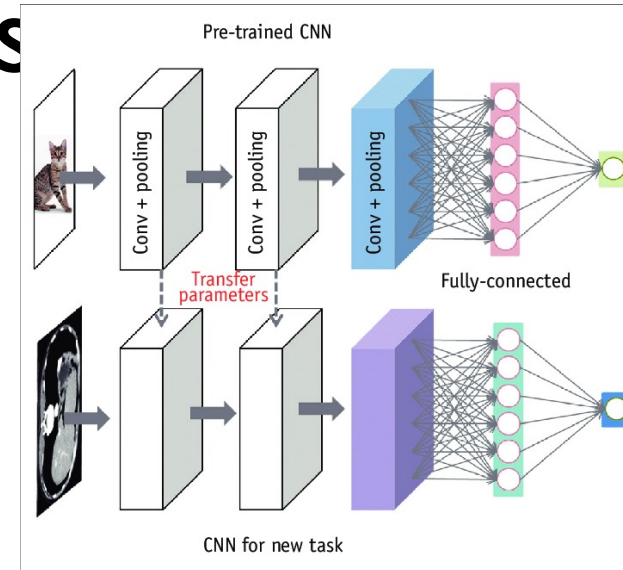
- Similar distribution in train and test
- Prevent unfair penalization: 1 test datapoint for groups contributing 1-2%
- Random sampling within each structural group

Base model architecture: graph neural networks



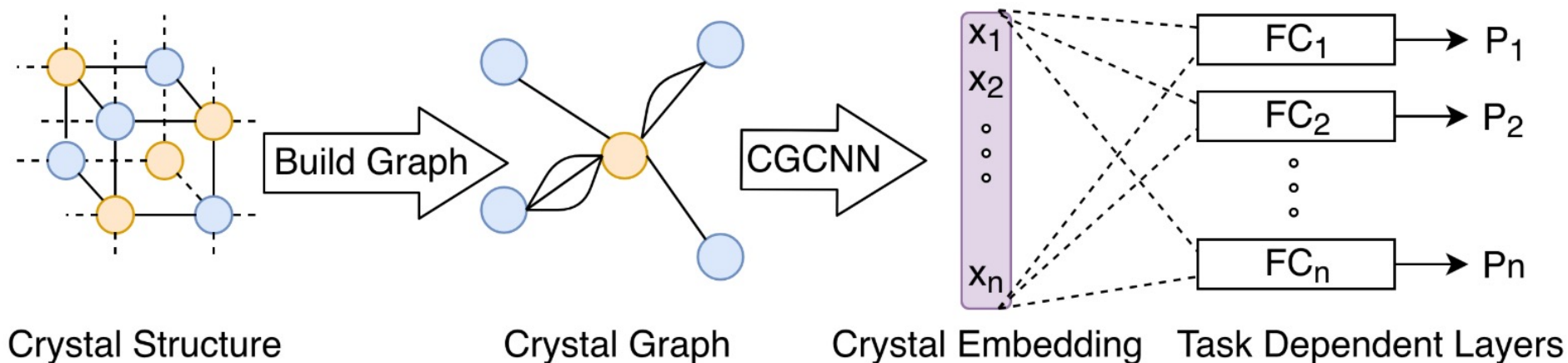
Transfer learning and 'MPT' models

Pre-train (PT) on a large dataset and fine-tune (FT) on a target, smaller dataset



Do et al., **Korean J. Radiol.** 2020

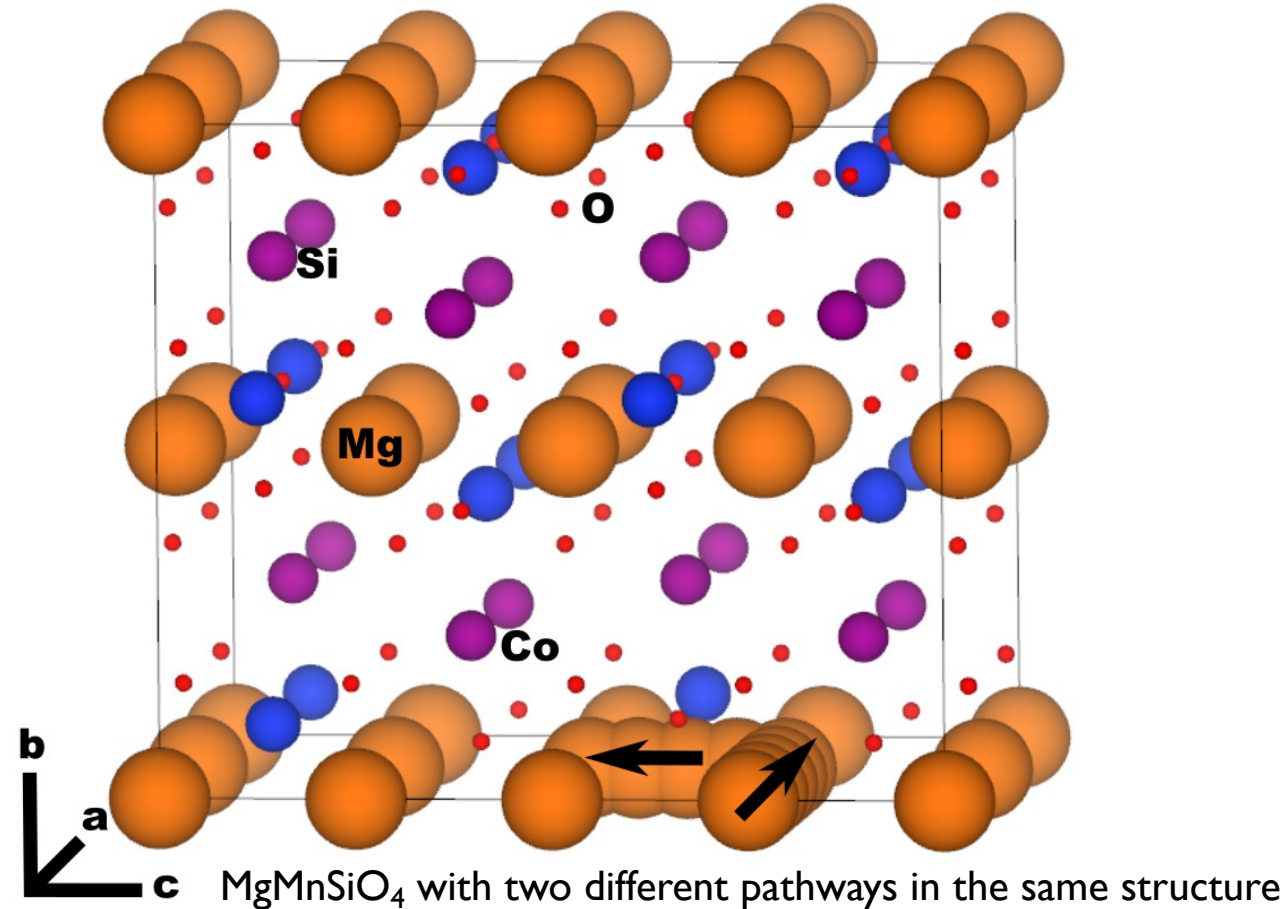
Multi-property pre-trained (MPT) models: PT on seven bulk properties simultaneously



Devi et al., **npj Comput. Mater.** 10, 300 (2024)

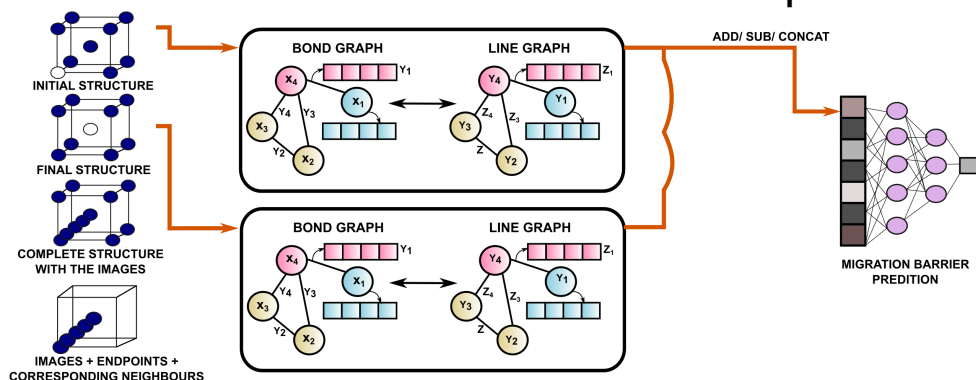
Sanyal et al., **arXiv** 1811.05660 (2018)

Distinguish multiple paths in a structure: use modified model architectures

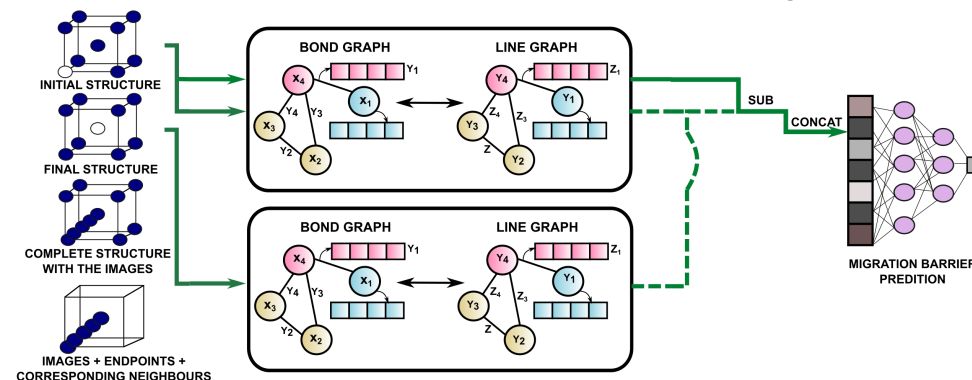


Distinguish multiple paths in a structure: use modified model architectures

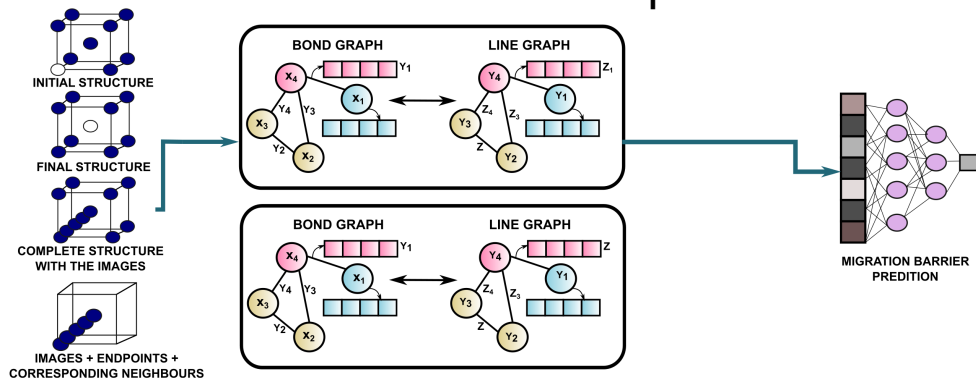
Model 1: Take initial and final as input



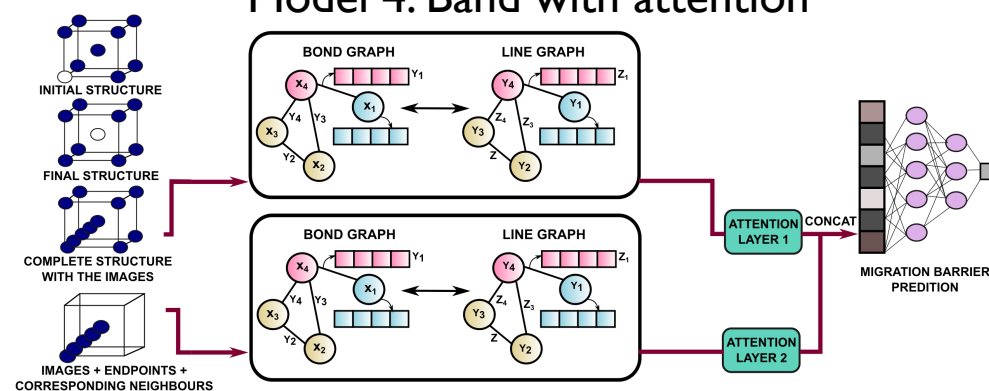
Model 2: Take initial and delta as input



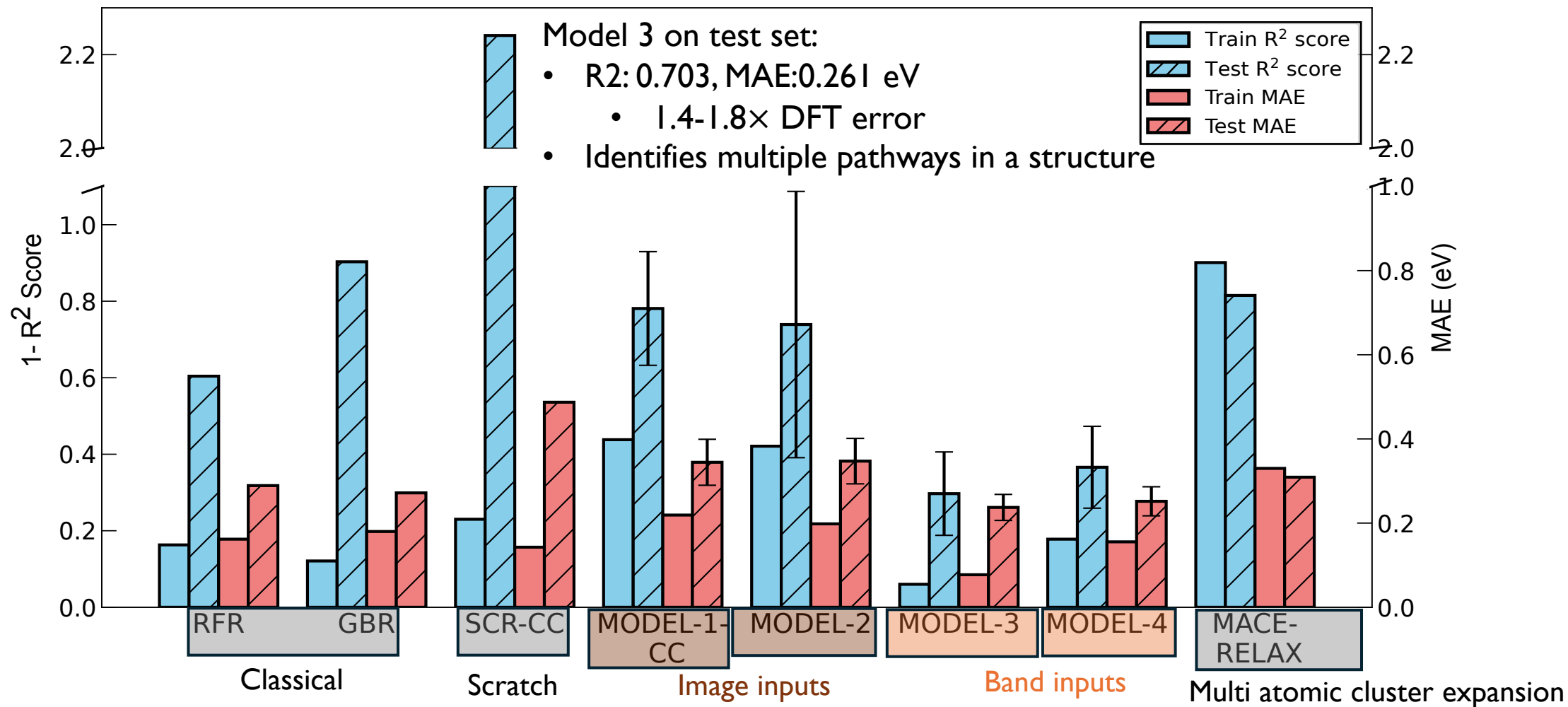
Model 3: Band as input



Model 4: Band with attention

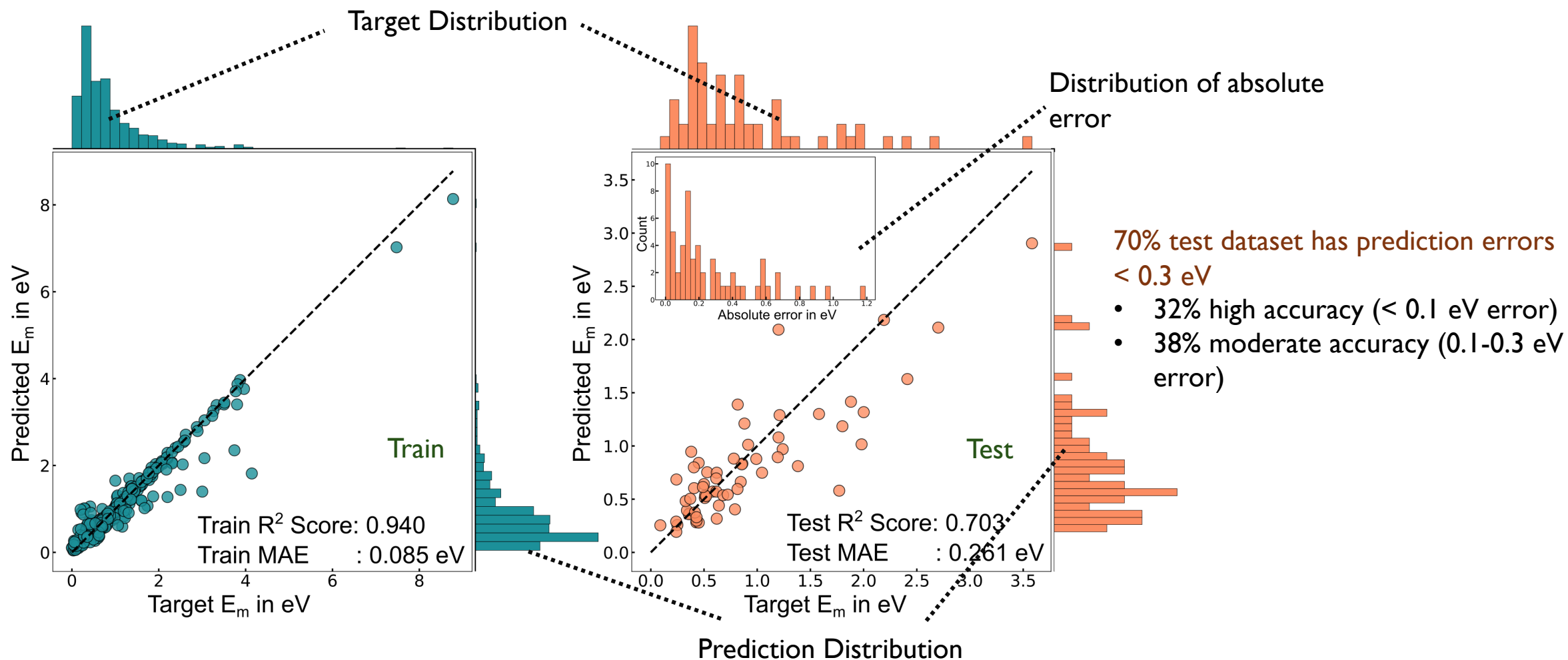


Model-3: best performing model

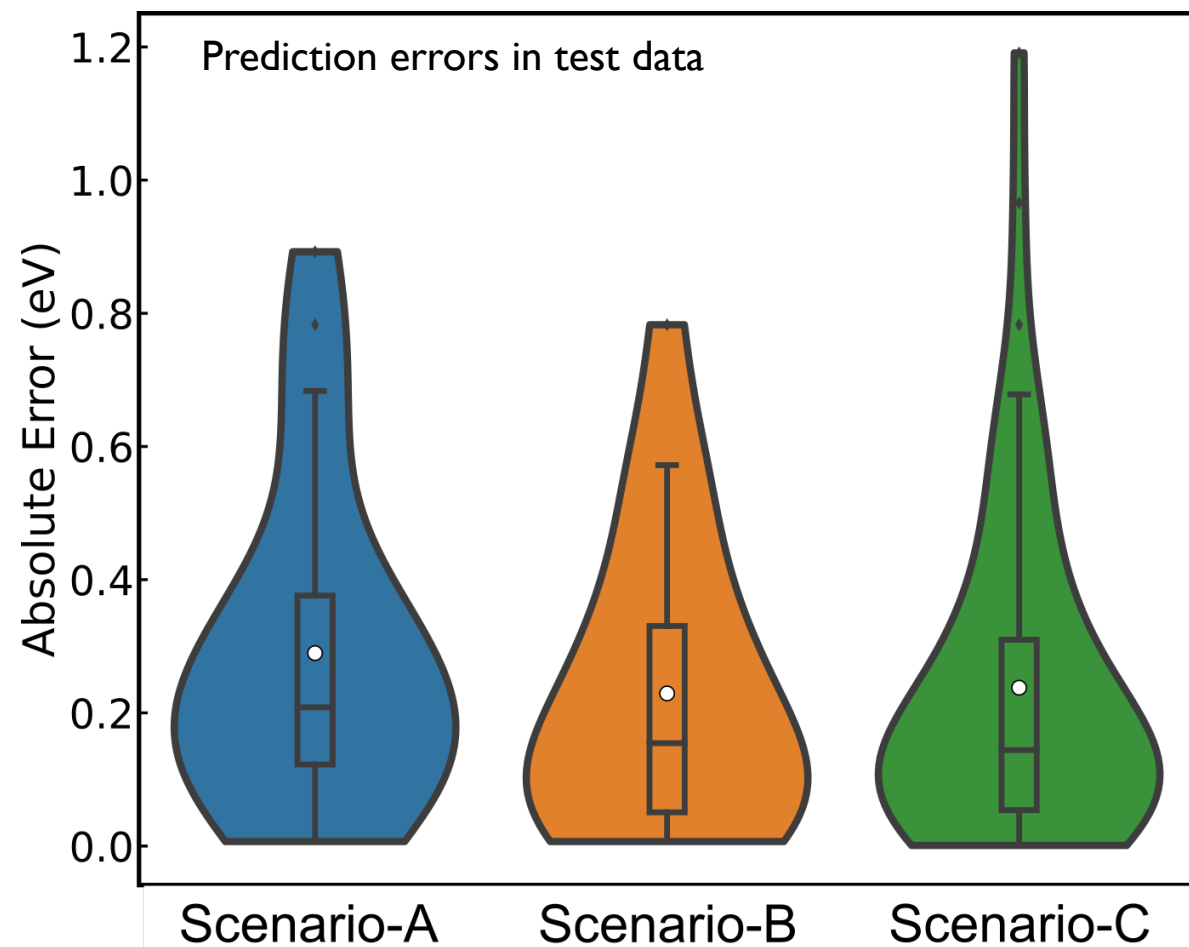


RFR: Random forest regressor; GBR: Gradient boosted regressor; SCR: Scratch; CC: Concatenate

Model-3 performance



How does Model-3 generalize?



Generalization across migration pathways in a structure

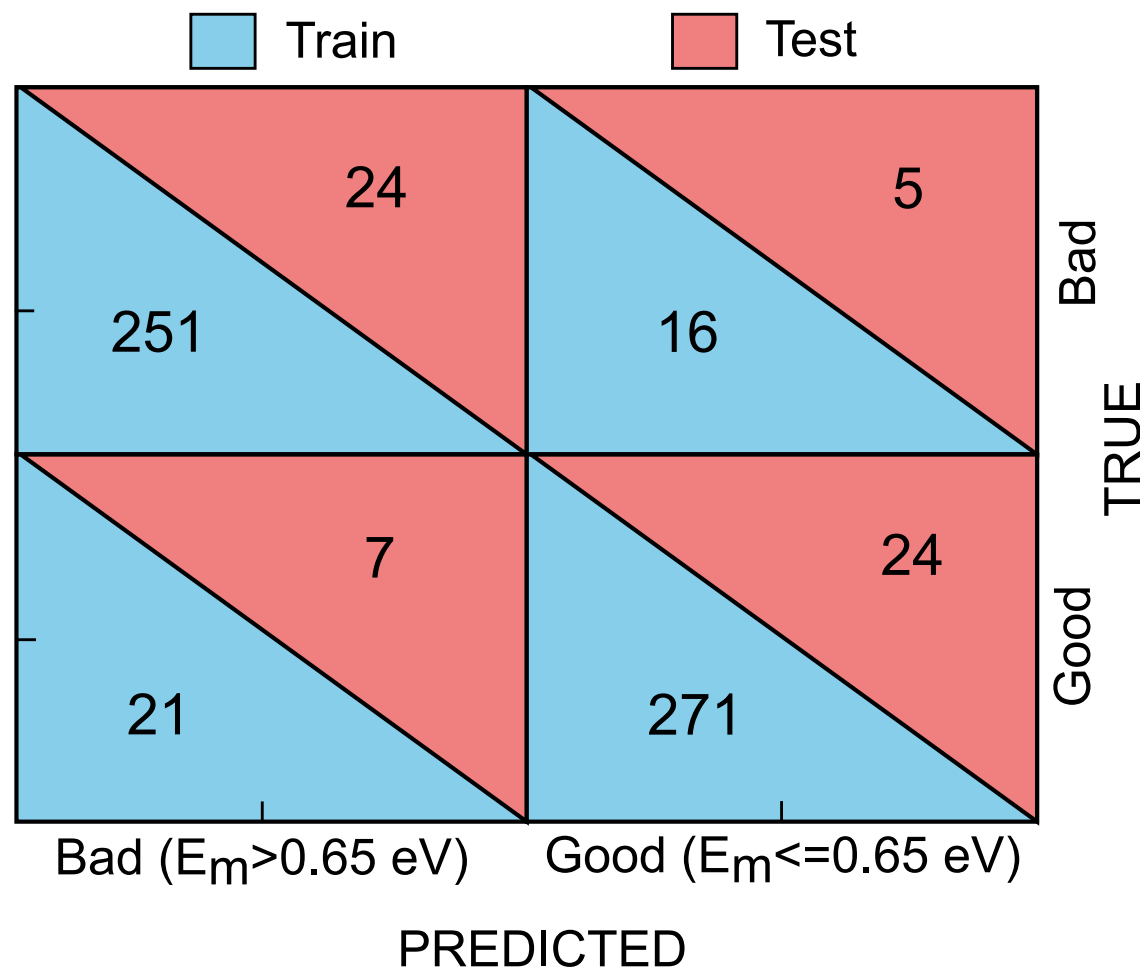
Generalization across intercalant composition (charged vs. discharged)

Generalization across chemistries (different intercalant/transition metal/anion, same structure)

Lower mean/median errors for Model-3 in scenarios B and C: **better generalization across composition and chemistry compared to migration pathways!**

- Can be used as a screening tool

Model-3 as a classifier



Threshold: 0.65 eV

- Nano-sized cathode
- C/2 rate, 300 K

Accuracy: 80%

Precision:

82.8% (good conductors)

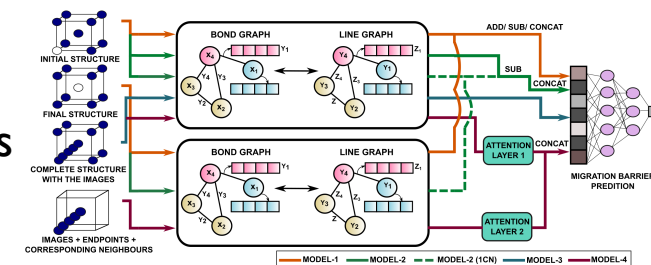
77.4% (bad conductors)

Model-3 can be good classifier!

- Especially for identifying good conductors

Conclusions

- Predicting E_m : critical for discovery of new materials with ‘high’ intercalant mobilities
- Accuracy: DFT gets qualitative trends right, reasonably close to experiments
- Dataset: curated 619 datapoints of calculated E_m
- Generalizable model to predict E_m
 - Leverage transfer learning, modified graph networks
 - Model-3 classifies multiple migration pathways, generalizes across chemistries and compositions



“A literature-derived dataset of migration barriers for quantifying ionic transport in battery materials”, R. Devi, A. Balasubramanian, K.T. Butler, and G. Sai Gautam, *Scientific Data* 12, 1922 (2025).

“Leveraging transfer learning for accurate estimation of ionic migration barriers in battery materials”, R. Devi, K.T. Butler, and G. Sai Gautam, *arXiv*, 2508.06436 (2025). [Coming soon on *npj Comput. Mater.*]

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